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I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Warren K. Volles
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Warren K. Volles
Signature

January 21, 2004
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

PATEL ET AL.

APPLICATION NO: 10/661,893

FILED: SEPTEMBER 12, 2003

FOR: STEREOSELECTIVE REDUCTION OF SUBSTITUTED OXO-BUTANES

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INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, and so under 37 C.F.R. §1.97(b)(3) no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-3880.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,

Bristol-Myers Squibb Company
Patent Department
P.O. Box 4000
Princeton, NJ 08543-4000
(203) 677-6997



Warren Volles
Attorney for Applicants
Reg. No. 33,810

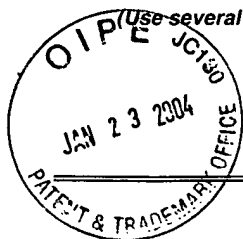
Date: January 21, 2004

FORM PTO-1449
(REV. 7-85)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION

ATTY. DOCKET NO.
GY56 CNT
APPLICATION NO.
10/661,893
APPLICANT
PATEL ET AL.
FILING DATE
SEPTEMBER 12, 2003

Group



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
|---------------------|----|-----------------|----------|----------------|-------|----------|-------------|
| | AA | 5,391,495 | 2/21/95 | Patel et al. | | | |
| | AB | 5,849,911 | 12/15/98 | Fassler et al. | | | |
| | AC | | | | | | |
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| | AE | | | | | | |
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| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |
| | AL | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | OFFICE | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|--------|-------|----------|--------------------------|--------------------------|
| | | | | | | | YES | NO |
| | AM | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
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| | AP | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |
| | AQ | | | | | | <input type="checkbox"/> | <input type="checkbox"/> |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

| | | |
|--|----|---|
| | AR | Zhou et al. (1983) Journal of American Chemical Society, Vol. 105, Pgs. 5926-5928 |
| | AS | Kazutoshi et al. (1986) Tetrahedron Letters, Vol. 27, No. 23, Pgs. 2657-2660 |
| | AT | Christen et al. (1988) Journal of Chem. Soc., Chem. Comm., Pgs. 264-266 |

EXAMINER

DATE CONSIDERED

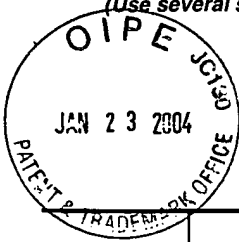
*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

FORM PTO-1449
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(Use several sheets if necessary)

ATTY. DOCKET NO.
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PATEL ET AL.
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| AA | Tricone et al. (1990) Biotechnology and Bioengineering, Vol. 35, Pgs. 559-564 |
| AB | Patel et al. (1991) Enzyme Microb. Technology, Vol. 13, Pgs. 906-912 |
| AC | Patel et al. (1993) Enzyme Microb. Technology, Vol. 15, Pgs. 1014-1021 |
| AD | Patel et al. (1992) Enzyme Microb. Technology, Vol. 14, Pgs. 731-738 |
| AE | Kometani et al. (1995) Journal of Fermentation and Bioengineering, Vol. 80, No. 2, Pgs. 208-210 |
| AF | |
| AG | |
| AH | |
| AI | |
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EXAMINER**DATE CONSIDERED**

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